

122203

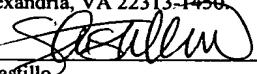
22855 U.S. PTO

22386 U.S. PTO  
10/743963

122203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICECERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on December 22, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL 980128161 US addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

  
 Sylvia Castillo

Attorney Docket No. LAM2P456

First Named Inventor: Xiuhua Zhang

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Mail Stop Patent Application  
 Commissioner for Patents  
 Box Patent Application  
 Alexandria, VA 22313-1450

 Duplicate for  
 fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:

Xiuhua Zhang

FOR: CHEMICAL MECHANICAL PLANARIZATION (CMP) SYSTEM AND METHOD FOR  
 PREPARING A WAFER IN A CLEANING MODULE

Application Elements:

- 19 Pages of Specification, Claims and Abstract
- 06 Sheets of Drawings (Informal)
- 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
- 
- Copies of IDS Citations
- 
- Preliminary Amendment
- Return Receipt Postcard
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	SMALL ENTITY <u>RATE</u> <u>FEES</u>	OR	LARGE ENTITY <u>RATE</u> <u>FEES</u>
BASIC FEE			\$385 \$	OR	\$770 \$770.00
TOTAL CLAIMS	<u>22</u>	<u>-20 = 02</u>	x09= \$	OR	2x18 = \$ 36.00
INDEP CLAIMS	<u>04</u>	<u>-03 = 01</u>	x43 = \$	OR	1x86 = \$ 86.00
[ ] Multiple Dependent Claim Presented			\$145 = \$	OR	\$290 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total <u><b>\$892.00</b></u>

Check No. 10153 in the amount of **\$932.00** is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P456).

Request for Small Entity Status

Applicant is entitled to small entity status.

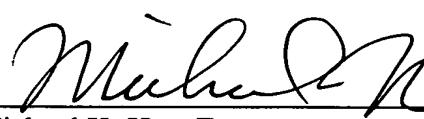
General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P456).

Please send correspondence to the following address:

Michael K. Hsu, Esq.  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Customer Number 25920  
Tel (408) 749-6900 Ext. 6924  
Fax (408) 749-6901

Date: December 22, 2003

  
\_\_\_\_\_  
Michael K. Hsu, Esq.  
Registration No. 46,782

PATENT

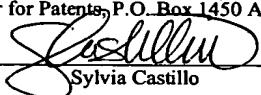
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
Xiuhua Zhang )      Examiner: Unassigned  
Application No. [To be assigned] )      Art Unit: Unassigned  
Filed: December 22, 2003 )      Docket No. LAM2P456  
For: CHEMICAL MECHANICAL PLANARIZATION )  
(CMP) SYSTEM AND METHOD FOR PREPARING )  
A WAFER IN A CLEANING MODULE )      Date: December 22, 2003

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on December 22, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EL 980128161 US, addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed:



Sylvia Castillo

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.** I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP



Michael K. Hsu, Esq.  
Reg. No. 46,782

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
(408) 749-6900  
Customer Number 25920